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Today's Date: March 16, 2004

To: Examiner M. Zia, Group Art Unit:2134

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From: Frederick E. Cooperrider #36,769

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In re Application of Aggarwal et al

Serial No.: 09/397,503

Filed: September 17, 1999

For: METHOD AND APPARATUS FOR PRODUCING DUPLICATION- AND

IMITATION-RESISTANT IDENTIFYING MARKS ON OBJECTS, AND

DUPLICATION- AND IMITATION-RESISTANT OBJECTS

Contents:

1. Amendment Under 37 CFR §1.116 (24 pages)

CERTIFICATION OF TRANSMISSION

I certify that I transmitted via facsimile to (703) 872-9306 this Amendment under 37 CFR §1.116 to Examiner K Zia on March 16, 2004.

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Examiner: Zia, M.

For: METHOD AND APPARATUS FOR PRODUCING DUPLICATION- AND IMITATION-RESISTANT IDENTIFYING MARKS ON OBJECTS, AND DUPLICATION- AND IMITATION-RESISTANT OBJECTS

Commissioner for Patents Alexandria, Virginia 22313-1450

AMENDMENT UNDER 37 C.F.R. §1.116

Sir:

In response to the Office Action dated January 12, 2004, please amend the above-identified application as follows, beginning on the next page.

Preliminary Remarks:

Applicants submit that the above-cited Office Action is somewhat confusing as to which claims are rejected and under which specific rejection each claim is being rejected, and that the claim status requires updating by the Examiner prior to appeal. For purpose of this response, Applicants assume that the Examiner's intent in the above-cited Office action is to maintain the rejection of the previous Office Action for those claims unlisted in the above-cited Office Action and that the above-cited Office Action is attempting to modify this earlier rejection, as based on the Applicants' claim amendments in the Amendment Under 37 CFR §1.111, filed October 30, 2003, for only the claims specifically listed in the above-cited Office Action.

However, as explained more in the discussion below for the prior art rejections,

Applicants submit that the Examiner seems confused on the <u>plain meaning</u> of the language of the previous claim amendments, the significance of this plain meaning relative to the prior art currently of record, and the propriety of combining features of prior art references.

S/N 09/397,503

IBM Docket: YOR919990129US1